NICHOLAS
INVESTMENT PARTNERS

Privacy Notice

(Last Updated February 2025)

At **Nicholas Investment Partners, LP** ("Nicholas"), safeguarding your privacy is a priority. This notice explains our policies regarding the collection, use, sharing, and protection of your personal information in compliance with **SEC Regulation S-P** and the **Gramm-Leach-Bliley Act (GLBA).**

I. Information We Collect

We collect non-public personal information ("Personal Information") in connection with offering and providing investment services. This information may include:

- **Personal Identifiers:** Name, address, Social Security number, taxpayer identification number, and date of birth.
- **Financial Information:** Account numbers, balances, assets, investment history, income, and risk tolerance.
- **Transactional Information:** Trading activity, payment history, and interactions with our firm.
- **Online Interactions:** Data collected through our website and electronic communications.

We collect this information from you directly, through service providers, and from transactions conducted with us or on your behalf.

II. How We Use and Share Your Information

We do not sell your personal information. We only share information in ways permitted by law, including:

- **To service your account** (e.g., process transactions, maintain records, or provide investment advice).
- **To comply with legal and regulatory requirements** (e.g., responding to legal requests or regulatory inquiries).
- **With service providers** that assist in operations (e.g., custodians, auditors, legal advisors). These providers are contractually required to maintain confidentiality and security standards.

III. Your Privacy Choices and Opt-Out Rights

- **We do not share your information for marketing purposes with non-affiliated third parties.**

- **We do not offer opt-out options** because we do not share your information in ways that require opt-out rights.

IV. How We Protect Your Information

To safeguard your personal information, we employ:

- **Physical, electronic, and procedural security measures** to prevent unauthorized access.
- **Access restrictions** limit information availability to employees and service providers who need it to serve you.
- **Regular cybersecurity reviews and monitoring** to maintain data integrity.

V. Retention of Personal Information

We retain personal information only as long as necessary to provide services and comply with regulatory obligations. When no longer needed, we securely dispose of it.

VI. Contact Us

For questions regarding this Privacy Notice, please contact us:

- **Phone:** (858) 759-4545
- **Email:** info@nicpartners.com

This Privacy Notice reflects our current privacy practices. If our practices change, we will provide an updated notice as required by law.